# TACKLING THE TIME TAX How The Federal Government Is Reducing Burdens To Accessing Critical Benefits And Services



# **Appendix D: PRA Violations**

**Information Collection Budget of FY 2023** 

**OFFICE OF MANAGEMENT AND BUDGET** OFFICE OF INFORMATION AND REGULATORY AFFAIRS

# **Appendix D: Violations**

OMB reports two categories of violations of the Paperwork Reduction Act: (1) collections in use without OMB approval and (2) lapses in renewal or discontinuation. Violations falling under the first category, collections in use without OMB approval, occur when the agency fails to submit the information collection request to OMB before it begins to collect information. Violations falling under the second category, lapses in renewal or discontinuation occur when the agency fails to submit its request to OMB to renew (or discontinue) its approval for a collection prior to the expiration date.<sup>1</sup>

OMB identifies all three types of lapses in renewal or discontinuation: (1) all collections that expired during the given fiscal year and were reinstated after the expiration date during that fiscal year; (2) collections that expired in previous fiscal years and were reinstated during the given fiscal year; and (3) collections that expired during the fiscal year and were not renewed or discontinued before the expiration date in the same fiscal year. OMB reports the list of FY 2023 Violations below:

| Agency   | Collection Expired<br>FY 2023 and Not<br>Renewed by the<br>End of FY 2023 | Collection Expired<br>FY 2023 and<br>Renewed in FY<br>2023 | Collection Expired<br>in a Previous FY,<br>Renewed FY 2023 | In Use Without<br>Control Number | Total |
|--|---|--|--|----------------------------------|-------|
| Total  | 125   | 26   | 139  | 44                               | 334   |
| Department of Health and<br>Human Services     | 48  | 7  | 26   | 3                                | 84    |
| Department of Housing and<br>Urban Development | 12  | 3  | 12   |                                  | 27    |
| Department of State                            | 7   | 4  | 6  | 1                                | 18    |
| Department of Transportation                   | 1   |  | 16   | 1                                | 18    |

| Table D1: | <b>Total Number</b> | · of Violations | hv Agency | and Type |
|-----------|---------------------|-----------------|-----------|----------|
|           | I otal i tumbel     | or violations   | by Agency | and Type |

<sup>&</sup>lt;sup>1</sup> Prior to FY 2011, OMB would identify all collections that expired during the fiscal year and were reinstated after the expiration date during the fiscal year. This previous process would identify only some of the collections comprising the other two types of lapses in renewal or discontinuation

| National Aeronautics and Space Administration | 1  |   | 15 | 1  | 17 |
|---|----|---|----|----|----|
| Department of Homeland<br>Security            | 12 |   | 3  | 1  | 16 |
| Department of Defense                         |    |   | 3  | 12 | 15 |
| Institute of Museum and<br>Library Services   |    | 1 | 14 |    | 15 |
| Department of Agriculture                     | 7  |   | 2  | 5  | 14 |
| Small Business Administration                 | 5  |   | 9  |    | 14 |
| Department of Justice                         | 4  | 4 | 2  |    | 10 |
| Office of Personnel<br>Management             | 10 |   |    |    | 10 |
| Nuclear Regulatory<br>Commission              |    |   |    | 9  | 9  |
| Department of Veterans<br>Affairs             |    |   | 8  |    | 8  |
| Department of the Interior                    |    |   |    | 7  | 7  |
| Surface Transportation Board                  |    |   | 6  |    | 6  |
| Agency for International<br>Development       | 3  | 2 |    |    | 5  |
| National Credit Union<br>Administration       |    | 5 |    |    | 5  |
| Securities and Exchange<br>Commission         |    |   | 5  |    | 5  |

| Department of Labor   |   | 1 | 2 | 3 |
|---|---|---|---|---|
| Department of the Treasury  | 1 | 2 |   | 3 |
| Environmental Protection<br>Agency                                | 1 | 1 |   | 2 |
| Merit Systems Protection<br>Board                                 |   | 2 |   | 2 |
| Office of National Drug<br>Control Policy                         | 2 |   |   | 2 |
| Peace Corps   | 2 |   |   | 2 |
| Selective Service System  | 1 | 1 |   | 2 |
| Tennessee Valley Authority  | 2 |   |   | 2 |
| United States International<br>Development Finance<br>Corporation | 1 | 1 |   | 2 |
| Commodity Futures Trading<br>Commission                           |   |   | 1 | 1 |
| Department of Education   |   | 1 |   | 1 |
| Department of Energy  | 1 |   |   | 1 |
| Federal Deposit Insurance<br>Corporation                          |   | 1 |   | 1 |
| Federal Housing Finance<br>Agency                                 |   | 1 |   | 1 |
| National Archives and<br>Records Administration                   |   | 1 |   | 1 |

| National Indian Gaming<br>Commission                | 1 |  |   | 1 |
|---|---|--|---|---|
| National Science Foundation                         | 1 |  |   | 1 |
| Office of Government Ethics                         | 1 |  |   | 1 |
| Office of the United States<br>Trade Representative | 1 |  |   | 1 |
| United States Consumer<br>Product Safety Commission |   |  | 1 | 1 |

| Agency                                  | OMB Control # | Title   |  |
|---|---------------|---|--|
| Commodity Futures Trading<br>Commission | 3038-0117     | Exemption from Derivatives Clearing Organization Registration |  |
| Department of Agriculture               | 0551-0052     | Borlaug Fellowship Program                                    |  |
| Department of Agriculture               | 0551-0051     | Cochran Fellowship Program                                    |  |
| Department of Agriculture               | 0551-0053     | Faculty Exchange Program                                      |  |
| Department of Agriculture               | 0584-0686     | FNS 775 EmpowHR/Person Model Non-Employee Data Sheet          |  |
| Department of Agriculture               | 0551-0054     | Scientific Exchanges Program                                  |  |
| Department of Defense                   | 0703-0082     | Burial at Sea Request and Checklist                           |  |
| Department of Defense                   | 0704-0639     | Centralized Credentials and Quality Assurance System          |  |
| Department of Defense                   | 0720-0071     | Centralized Credentials and Quality Assurance System          |  |
| Department of Defense                   | 0704-0664     | Community Capacity Inventory                                  |  |
| Department of Defense                   | 0704-0671     | Cost and Software Data Report                                 |  |
| Department of Defense                   | 0704-0660     | Department of Defense Survivor Family Member Survey           |  |
| Department of Defense                   | 0704-0666     | Domestic Abuse Victim Reporting Option Statement              |  |
| Department of Defense                   | 0703-0087     | Navy Child and Youth Program Forms                            |  |

#### Table D2: Collections in use without an OMB control number

| Department of Defense                      | 0704-0648 | Pentagon Facilities Access Control System  |  |
|--|-----------|--|--|
| Department of Defense                      | 0704-0643 | Post-Election Voting Survey of State Election Officials (SEOs)   |  |
| Department of Defense                      | 0704-0650 | Qualified Facility List Application Form   |  |
| Department of Defense                      | 0704-0649 | Record of Emergency Data   |  |
| Department of Health and<br>Human Services | 0920-1393 | [NCHS] Research Data Center Data Security Forms for Access to Confidential Data<br>for the National Center for Health Statistics |  |
| Department of Health and<br>Human Services | 0920-1389 | NCEH DLS Laboratory Quality Assurance Programs   |  |
| Department of Health and<br>Human Services | 0920-1388 | Synopses of State Dental Public Health Programs  |  |
| Department of Homeland<br>Security         | N/A       | Logistics Supply Chain Management Systems Cloud (LSCMS-C) Access Control<br>Form (202308-1660-001)                               |  |
| Department of Labor                        | 1205-0555 | Award Closure Statement Documents  |  |
| Department of Labor                        | 1293-0017 | Components of the Jobs for Veterans State Grants State Plans   |  |
| Department of State                        | 1405-0254 | Request for Authentications Service  |  |
| Department of the Interior                 | 1018-0194 | Approval Procedures for Incidental Harassment Authorizations of Marine Mammals,<br>50 CFR 18.27                                  |  |
| Department of the Interior                 | 1093-0013 | DOI Access & Consent Forms   |  |
| Department of the Interior                 | 1018-0191 | Lenape NWR Complex Mentored Hunt Application   |  |
| Department of the Interior                 | 1028-0132 | Shake Alert  |  |

| Department of the Interior                          | 1018-0193 | U.S. Fish and Wildlife Service Agreements with Friends Organizations           |  |
|---|-----------|--|--|
| Department of the Interior                          | 1018-0188 | U.S. Fish and Wildlife Service ArcGIS Online (AGOL) Platform                   |  |
| Department of the Interior                          | 1018-0190 | U.S. Fish and Wildlife Service Bison Donations Request Program                 |  |
| Department of<br>Transportation                     | 2139-0014 | Origin and Destination 40 Percent Collection                                   |  |
| National Aeronautics and<br>Space Administration    | 2700-0192 | NASA International Space Apps Challenge Navigator and Collaborator Application |  |
| Nuclear Regulatory<br>Commission                    | N/A       | A Week at the NRC (AWeek) Program registration information collection          |  |
| Nuclear Regulatory<br>Commission                    | N/A       | Multiple forms requesting medical documentation from medical personnel         |  |
| Nuclear Regulatory<br>Commission                    | N/A       | NRC Form 833 Generic Issue Submission Form                                     |  |
| Nuclear Regulatory<br>Commission                    | N/A       | NRC Hiring Event Registration Form   |  |
| Nuclear Regulatory<br>Commission                    | N/A       | OGC Summer Intern Program registration information                             |  |
| Nuclear Regulatory<br>Commission                    | N/A       | Small Business and Civil Rights Grant Service Agreement                        |  |
| Nuclear Regulatory<br>Commission                    | N/A       | Solicitation of Comments on 10 CFR Part 26 Frequently Asked Questions          |  |
| Nuclear Regulatory<br>Commission                    | N/A       | Solicitation of Feedback and Comments on Security Frequently Ask Questions     |  |
| Nuclear Regulatory<br>Commission                    | N/A       | University Nuclear Leadership Program Grant Service Agreement                  |  |
| United States Consumer<br>Product Safety Commission | N/A       | Bath Surface Contact Study   |  |

| Table D3: Collection Expired FY 2023 and Renewed in FY 2023 |
|---|
|---|

| Agency                                     | OMB Control # | Title   |
|--|---------------|---|
| Agency for International<br>Development    | 0412-0621     | COVID-19 Performance Monitoring   |
| Agency for International<br>Development    | 0412-0577     | Partner Vetting Information   |
| Department of Health and<br>Human Services | 0990-0475     | ASPA COVID-19 Public Education Campaign Evaluation Surveys  |
| Department of Health and<br>Human Services | 0945-0008     | Assurance and Certification of Compliance (NPRM)  |
| Department of Health and<br>Human Services | 0935-0245     | Consumer Assessment of Healthcare Providers and Systems (CAHPS®)Home and<br>Community Based Services (HCBS) Survey Database                 |
| Department of Health and<br>Human Services | 0906-0043     | Evidence Based Telehealth Network Program Measures  |
| Department of Health and<br>Human Services | 0906-0047     | Information Collection Request Title: Ryan White HIV/AIDS Program (RWHAP) Parts A<br>and B Unobligated Balances and Rebate Addendum Tables. |
| Department of Health and<br>Human Services | 0920-1243     | Rapid Response Suicide Investigation Data Collection  |
| Department of Health and<br>Human Services | 0938-0035     | Social Security Office (SSO) Report of State Buy-in Problems (CMS-1957)   |
| Department of Justice                      | 1140-0046     | Certification on Agency Letterhead Authorizing Purchase of Firearm for Official Duties of<br>Law Enforcement Officer                        |
| Department of Justice                      | 1103-0117     | Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery   |
| Department of Justice                      | 1190-0020     | Reporting Portal for Civil Rights Violations  |
| Department of Justice                      | 1122-0032     | Semi-annual Progress Report for the Justice for Families Program  |
| Department of State                        | 1405-0249     | Afghanistan Request for Consular Services Form  |

| Department of State                            | 1405-0179 | Disclosure of Violations of the Arms Export Control Act  |
|--|-----------|--|
| Department of State                            | 1405-0169 | Request for Entry into Children's Passport Issuance Alert Program  |
| Department of State                            | 1405-0152 | Smart Traveler Enrollment Program (STEP)   |
| Department of Housing and<br>Urban Development | 2502-0602 | Comprehensive Transactional Forms Supporting FHA's Section 242 Mortgage Insuranc<br>Program for Hospitals                    |
| Department of Housing and<br>Urban Development | 2503-0034 | Ginnie Mae Digital Collateral Program  |
| Department of Housing and<br>Urban Development | 2535-0102 | Request Voucher for Grant Payment and Line of Credit Control System (LOCCS) Voic<br>Response System Access                   |
| Institute of Museum and<br>Library Services    | 3137-0116 | Museums for Digital Learning (MDL) Project Evaluation  |
| National Credit Union<br>Administration        | 3133-0098 | Advertising of Excess Insurance, 12 CFR 740.3  |
| National Credit Union<br>Administration        | 3133-0203 | IRPS 19-1, Exceptions to Employment Restrictions Under Section 205(d) of the Federa<br>Credit Union Act (Second Chance IRPS) |
| National Credit Union<br>Administration        | 3133-0108 | Monitoring Bank Secrecy Act Compliance   |
| National Credit Union<br>Administration        | 3133-0204 | NCUA Profile   |
| National Credit Union<br>Administration        | 3133-0130 | Written Reimbursement Policy, 12 CFR 701.33  |

| Agency                                     | OMB Control # | Title  |  |
|--|---------------|--|--|
| Agency for International<br>Development    | 0412-0618     | USAID Annual Diversity, Equity, Inclusion, and Accessibility Survey  |  |
| Agency for International<br>Development    | 0412-0607     | USAID Feed the Future Initiative: National Survey of U.S. Adults about Global Food<br>Security   |  |
| Agency for International<br>Development    | 0412-0606     | Vegetable Oil Packaging Survey   |  |
| Department of Agriculture                  | 0581-0143     | Export Fruit Regulations Export Apple Act (7 CFR Part 33) and Export Grape an<br>Plum Act (7 CFR Part 35)  |  |
| Department of Agriculture                  | 0581-0291     | Federal Marketing Order for Pecans (7 CFR Part 986)  |  |
| Department of Agriculture                  | 0581-0026     | Federal Seed Act Program   |  |
| Department of Agriculture                  | 0596-0220     | Secure Rural Schools Act   |  |
| Department of Agriculture                  | 0581-0140     | Seed Service Testing Program   |  |
| Department of Agriculture                  | 0596-0249     | SF-299 APPLICATION FOR TRANSPORTATION,UTILITY SYSTEMS,<br>TELECOMMUNICATIONS AND FACILITIES ON FEDERAL LANDS AND<br>PROPERTY                                       |  |
| Department of Agriculture                  | 0581-0311     | Swine Contract Library   |  |
| Department of Energy                       | 1910-5184     | Programs for Improving Energy Efficiency in Residential Buildings  |  |
| Department of Health and<br>Human Services | 0938-1069     | (CMS-10266) Conditions of Participation: Requirements for Approval and Reapproval of<br>Transplant Centers to Perform Organ Transplants and Supporting Regulations |  |
| Department of Health and<br>Human Services | 0938-1071     | (CMS-10279) Ambulatory Surgical Centers Conditions of Coverage   |  |

## Table D4: Collection Expired FY 2023 and Not Renewed by the End of FY 2023

| Department of Health and<br>Human Services | 0938-1091 | (CMS-10282) Comprehensive Outpatient Rehabilitation Facilities (CORFs) Conditions<br>of Participation (CoP) and Supporting Regulations  |
|--|-----------|---|
| Department of Health and<br>Human Services | 0938-1325 | (CMS-10578) Emergency Preparedness Requirements for Medicare and Medicaid<br>Participating Providers and Suppliers  |
| Department of Health and<br>Human Services | 0938-0334 | (CMS-R-38) Medicare and Medicaid Programs: Conditions for Certification for Rural<br>Health Clinics and Conditions for Coverage for FQHCs in 42 CFR 491   |
| Department of Health and<br>Human Services | 0915-0176 | 340B Drug Pricing Program Reporting Requirements  |
| Department of Health and<br>Human Services | 0990-0001 | Application of Waiver of the 2 Year Foreign Residence Requirement of the Exchange<br>Visitor Program  |
| Department of Health and<br>Human Services | 0920-1366 | Centralized Institutional Review for the CDC Expanded Access Investigational New<br>Device (EA-IND) for "Use of Tecovirimat (TPOXX®) for Treatment of Human Non-<br>Variola Orthopoxvirus Infections. |
| Department of Health and<br>Human Services | 0920-1321 | Community-Based Organizations' Changes in Preparedness and Resources for Support<br>of Biomedical HIV Prevention  |
| Department of Health and<br>Human Services | 0938-1245 | Conditions of Participation for Community Mental Health Centers and Supporting<br>Regulations (CMS-10506)   |
| Department of Health and<br>Human Services | 0938-0448 | End Stage Renal Disease Death Notification (CMS-2746)   |
| Department of Health and<br>Human Services | 0938-0046 | End Stage Renal Disease Medical Evidence Report Medicare Entitlement and/or Patient<br>Registration (CMS-2728)  |
| Department of Health and<br>Human Services | 0938-0447 | End Stage Renal Disease Medical Information System ESRD Facility Survey and<br>Supporting Regulations in 42 CFR 405.2133 (CMS-2744)   |
| Department of Health and<br>Human Services | 0920-1285 | Evaluating the implementation and impact of an opioid medication management program, in a hospital discharge setting, to reduce falls in older adults   |
| Department of Health and<br>Human Services | 0935-0252 | Evaluating the Implementation of PCOR to Increase Referral, Enrollment, and Retention through Automatic Referral to Cardiac Rehabilitation (CR) with Care Coordinator                                 |
| Department of Health and<br>Human Services | 0935-0251 | Evaluating the Implementation of Products to Help Learning Health Systems Use<br>Findings from AHRQ Evidence Reports  |
| Department of Health and<br>Human Services | 0920-1302 | Evaluation of the DP18-1801 Healthy Schools Program   |

| Department of Health and<br>Human Services | 0920-1338 | Evaluation of the Effectiveness of the Training and Education Modules in the North<br>American Fatigue Management Program  |
|--|-----------|--|
| Department of Health and<br>Human Services | 0906-0052 | Evaluation of the Maternal and Child Health Bureau Pediatric Mental Health Care<br>Access and Screening and Treatment for Maternal Depression and Related Behavioral<br>Disorders Programs Project |
| Department of Health and<br>Human Services | 0938-0667 | Examination and Treatment for Emergency Medical Conditions and Women in Labor (EMTALA), 42 CFR 482.12, 488.18, 489.20, and 489.24 (R-142)  |
| Department of Health and<br>Human Services | 0920-1343 | Examining Safety and Health among Aviation Industry Workers in Alaska: A Survey  |
| Department of Health and<br>Human Services | 0990-0221 | Family Planning Annual Report: Forms and Instructions  |
| Department of Health and<br>Human Services | 0920-1090 | Formative and Summative Evaluation of the National Diabetes Prevention Program   |
| Department of Health and<br>Human Services | 0906-0048 | Forms for Use with Applications to the Maternal and Child Health Bureau Research and<br>Training Grants  |
| Department of Health and<br>Human Services | 0990-0390 | Generic: Challenge and Competition solicitations   |
| Department of Health and<br>Human Services | 0938-0832 | Health Insurance Benefit Agreement and Supporting Regulations (CMS-1561/1561A)   |
| Department of Health and<br>Human Services | 0938-0022 | Home Health Agency Cost Report and Supporting Regulations (CMS-1728-20)  |
| Department of Health and<br>Human Services | 0920-1271 | Information Collection on Soil-transmitted Helminth Infections in Alabama and<br>Mississippi   |
| Department of Health and<br>Human Services | 0938-0697 | Medicaid Report on Payables and Receivables (R-199)  |
| Department of Health and<br>Human Services | 0938-0753 | Medicare Advantage Program and Supporting Regulations (CMS-R-267)  |
| Department of Health and<br>Human Services | 0938-1408 | Medicare Durable Medical Equipment, Prosthetics, Orthotics, and Supplies (DMEPOS)<br>Competitive Bidding Program – Contracting Forms (CMS-10744)   |
| Department of Health and<br>Human Services | 0938-1179 | Medicare Enrollment Application- Reassignment of Medicare Benefits (CMS-855R)  |

| Department of Health and<br>Human Services | 0920-1097 | Monitoring and Reporting System for the National Tobacco Control Program   |
|--|-----------|--|
| Department of Health and<br>Human Services | 0920-0278 | National Hospital Ambulatory Medical Care Survey   |
| Department of Health and<br>Human Services | 0938-0897 | Notification of MACs and CMS of Co-Located Medicare Providers  |
| Department of Health and<br>Human Services | 0938-0512 | Organ Procurement Organization's Health Insurance Benefits Agreement and Supporting<br>Regulations 42 CFR 486.301-486.348 (CMS-576A) |
| Department of Health and<br>Human Services | 0938-1412 | Patient Access Through Application Programming Interfaces (API) (CMS-10767)  |
| Department of Health and<br>Human Services | 0938-1141 | Rate Increase Disclosure and Review Reporting Requirements (CMS-10379)   |
| Department of Health and<br>Human Services | 0915-0149 | Scholarships for Disadvantaged Students Application Program Specific Form  |
| Department of Health and<br>Human Services | 0938-0988 | State Children's Health Insurance Program (SCHIP) Report on Payables and Receivables<br>(CMS-10180)                                  |
| Department of Health and<br>Human Services | 0990-0438 | Teen Pregnancy Prevention (TPP) Performance Measures for FY2020  |
| Department of Health and<br>Human Services | 0938-1370 | Testing of Web Survey Design and Administration for CMS Experience of Care Surveys (CMS-10694)                                       |
| Department of Health and<br>Human Services | 0915-0295 | The Division of Independent Review Application Reviewer Recruitment Form   |
| Department of Health and<br>Human Services | 0938-0270 | The Financial Statement of Debtor and Supporting Regulations in 42 CFR, Section 405.376 (CMS-379)                                    |
| Department of Health and<br>Human Services | 0920-1332 | Understanding Long-term Respiratory Morbidity in Former Styrene-exposed Workers:<br>Medical Survey                                   |
| Department of Health and<br>Human Services | 0920-1284 | Web-based Approaches to Reach Black or African American and Hispanic/Latino MSM<br>for HIV Testing and Prevention Services           |
| Department of Health and<br>Human Services | 0920-1345 | Work Organization Risks to Short-haul Truck Drivers' Health & Safety   |

| Department of Health and<br>Human Services | 0938-1029 | Worksheet for Recording Results of Medicare Site Visits of Independent Diagnostic<br>Testing Facilities (IDTFs) (CMS-10221)  |
|--|-----------|--|
| Department of Homeland<br>Security         | 1670-0009 | IP Gateway User Registration   |
| Department of Homeland<br>Security         | 1670-0041 | National Counter-Improvised Explosive Device Capabilities Analysis Database  |
| Department of Homeland<br>Security         | 1670-0040 | Nationwide Cyber Security Review (NCSR) Assessment   |
| Department of Homeland<br>Security         | 1660-0155 | Notice of Loss and Proof of Loss   |
| Department of Homeland<br>Security         | 1670-0031 | Office of Bombing Prevention Training and Conference Forms   |
| Department of Homeland<br>Security         | 1651-0103 | Passenger List-Crew List   |
| Department of Homeland<br>Security         | 1660-0156 | Preparedness Grants Portfolio, including Regional Catastrophic Preparedness Grant<br>Program (RCPGP), Grants Reporting Tool (GRT), Emergency Management Performance<br>Grant (EMPG), Intercity Bus Security Gr |
| Department of Homeland<br>Security         | 1670-0039 | Soft Target Countermeasure Surveys   |
| Department of Homeland<br>Security         | 1670-0023 | Technical Assistance Request and Evaluation (TARE)   |
| Department of Homeland<br>Security         | 1670-0028 | Technical Resource for Incident Prevention (TRIPwire) User Registration  |
| Department of Homeland<br>Security         | 1670-0005 | Telecommunications Service Priority System   |
| Department of Homeland<br>Security         | 1625-0121 | United States Coast Guard Academy Introduction Mission Program Application and<br>Supplemental Forms   |
| Department of Justice                      | 1121-0372 | Annual Treatment Court Survey (ATCS) Series  |
| Department of Justice                      | 1105-0100 | Claims of U.S. Nationals Referred to the Commission by the Department of State<br>Pursuant to the International Claims Settlement Act of 1949, as Amended  |

| Department of Justice                          | 1121-0140 | OJP Standard Assurance form   |
|--|-----------|---|
| Department of Justice                          | 1105-0099 | USMS Medical Forms  |
| Department of State                            | 1405-0011 | Application for Consular Report of Birth Abroad of a Citizen of the United States of<br>America |
| Department of State                            | 1405-0210 | ECA Exchange Student Surveys  |
| Department of State                            | 1405-0235 | Evaluation of the Mandela Washington Fellowship for Young African Leaders                       |
| Department of State                            | 1405-0239 | Evaluation of the Professional Fellows Program  |
| Department of State                            | 1405-0192 | Exchange Programs Alumni Website Registration   |
| Department of State                            | 1405-0233 | National Security Language Initiative for Youth Evaluation                                      |
| Department of State                            | 1405-0238 | State Assistance Management System Domestic Results Performance Monitoring<br>Module            |
| Department of the Treasury                     | 1545-2289 | Multiple Employer Plans   |
| Department of<br>Transportation                | 2106-0005 | Public Charters - 14 CFR PART 380   |
| Department of Housing and<br>Urban Development | 2577-0287 | Alternative Inspections - Housing Choice Voucher Program  |
| Department of Housing and<br>Urban Development | 2506-0197 | Community Compass TA and Capacity Building Program NOFA   |
| Department of Housing and<br>Urban Development | 2502-0322 | Disclosure of Adjustable Rate Mortgages (ARMs) Rates  |
| Department of Housing and<br>Urban Development | 2506-0089 | EMERGENCY SOLUTIONS GRANT DATA COLLECTION   |

| Department of Housing and<br>Urban Development   | 2506-0087 | Environmental Review Procedures for Entities Assuming HUD Environmental<br>Responsibilities  |
|--|-----------|--|
| Department of Housing and<br>Urban Development   | 2529-0033 | Fair Housing Initiatives Program Grant   |
| Department of Housing and<br>Urban Development   | 2539-0008 | Healthy Home and Lead Hazard Control Grant Programs Data Collection Progress<br>Reporting  |
| Department of Housing and<br>Urban Development   | 2502-0606 | Housing Counseling Federal Advisory Committee (HCFAC)  |
| Department of Housing and<br>Urban Development   | 2528-0297 | License for the Use of Personally Identifiable Information Protected Under the E-<br>Government Act of 2002, Title V and the Privacy Act of 1974 |
| Department of Housing and<br>Urban Development   | 2502-0305 | Management Certification and Management Entity Profile   |
| Department of Housing and<br>Urban Development   | 2577-0246 | Public Housing Operating Subsidy - Appeals   |
| Department of Housing and<br>Urban Development   | 2502-0624 | The Green and Resilient Retrofit Program (GRRP) Application Forms  |
| Environmental Protection<br>Agency               | 2050-0077 | Application for Reimbursement to Local Governments for Emergency Response to<br>Hazardous Substance Releases Under CERCLA section 123 (Renewal)  |
| National Aeronautics and<br>Space Administration | 2730-0001 | NASA/KSC Business Opportunities Expo   |
| National Indian Gaming<br>Commission             | 3141-0001 | Indian Gaming Compliance and Enforcement   |
| National Science Foundation                      | 3145-0252 | Grantee Reporting Requirements for the NSF Small Business Innovation Research and<br>the Small Business Technology Transfer (SBIR/STTR) Programs |
| Office of Government Ethics                      | 3209-0011 | OGE Request For a Medical Exception to the Covid-19 Vaccination Requirement  |
| Office of National Drug<br>Control Policy        | 3201-0017 | Survey on Practices and Policies Related to the Treatment of Opioid Use Disorders  |
| Office of National Drug<br>Control Policy        | 3201-0018 | Survey on the Treatment of Opioid Use Disorders  |

| Office of Personnel<br>Management                   | 3206-0268 | Certification of Qualifying District of Columbia Service Under Section 1905 of Public<br>Law 111-84   |
|---|-----------|---|
| Office of Personnel<br>Management                   | 3206-0235 | RI 20-64A, Ri 20-64, Letter to Request for Information. Former Spouse Survivor<br>AnnuityElection/Information on Electing a Survivor for Your Former Spouse |
| Office of Personnel<br>Management                   | 3206-0032 | RI 25-14, RI 25-14A Self-Certicication of Full -Time School Attendance  |
| Office of Personnel<br>Management                   | 3206-0233 | RI 25-51, Civil Service Retirement System Survivor Annuitant Express Pay Application<br>for Death Benefits  |
| Office of Personnel<br>Management                   | 3206-0144 | RI 38-45, RI 38-45 More Information Needed for the Person Named Below   |
| Office of Personnel<br>Management                   | 3206-0270 | RI 76-10 Assignment, Federal Employees' Group Life Insurance (FEGLI) Program  |
| Office of Personnel<br>Management                   | 3206-0190 | RI 92-19, RI 92-19, Application for Deferred or Postponed Retirement (FERS)   |
| Office of Personnel<br>Management                   | 3206-0218 | RI 94-7, Death Benefit Payment Rollover Election Form   |
| Office of Personnel<br>Management                   | 3206-0216 | RI 98-7, We Need Important Information About Your Eligility for Social Security<br>Disability Benefits  |
| Office of Personnel<br>Management                   | 3206-0275 | Standard Form 3119, Application for Court-Ordered Benefits for former Spouses,<br>Standard Form 3119  |
| Office of the United States<br>Trade Representative | 0350-0015 | 301 Exclusion Requests  |
| Peace Corps   | 0420-0569 | Peace Corps Returned Volunteer Impact Survey  |
| Peace Corps   | 0420-0558 | Returned Peace Corps Volunteer Portal (RPCV Portal)   |
| Selective Service System                            | 3240-0010 | Uncompensated Registrar Appointments  |
| Small Business<br>Administration                    | 3245-0084 | Disaster Home/Business Loan Inquiry Record  |

| Small Business<br>Administration                                  | 3245-0405 | Federal and State Technology Partnership (FAST) Program Quarterly Reporting Form           |
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| Small Business<br>Administration                                  | 3245-0403 | HUBZone Program Petition for Governor-Designated Covered Areas                             |
| Small Business<br>Administration                                  | 3245-0346 | PCLP Quarterly Loan Loss Reserve Report and PCLP Guarantee Request                         |
| Small Business<br>Administration                                  | 3245-0402 | Women's Business Center Clients  |
| Tennessee Valley Authority  | 3316-0016 | Farmer Questionnaire - Vicinity of Nuclear Power Plants                                    |
| Tennessee Valley Authority  | 3316-0114 | Generic Clearance for the Collection of Qualitative Feedback on Agency Service<br>Delivery |
| United States International<br>Development Finance<br>Corporation | 3015-0012 | Generic Clearance for the Collection of Qualitative Feedback on Agency Service<br>Delivery |

## Table D5: Collection Expired in a Previous FY, Renewed FY 2023

| Agency                                     | OMB Control # | Title   |
|--|---------------|---|
| Department of Agriculture                  | 0579-0440     | Conditions for Payment of Highly Pathogenic Avian Influenza Indemnity Claims  |
| Department of Agriculture                  | 0581-0333     | FarmerFairness.gov USDA/DOJ complaint web portal  |
| Department of Defense                      | 0702-0021     | Application and Contract for Establishment of a Junior Reserve Officers' Training Corps<br>Unit   |
| Department of Defense                      | 0703-0060     | U.S. NavyvChief of Information Sponsor Application  |
| Department of Defense                      | 0703-0036     | United States Naval Academy Candidate Application Forms   |
| Department of Education                    | 1880-0544     | E-Complaint Form(FERPA) and PPRA E-Complaint Form   |
| Department of Health and<br>Human Services | 0938-0360     | (CMS-3427) End Stage Renal Disease Application and Survey and Certification Report<br>Form and Supporting Regulations   |
| Department of Health and<br>Human Services | 0938-0986     | (CMS-437A and 437B) Rehabilitation Unit Criteria Work Sheet and Rehabilitation<br>Hospital Criteria Work Sheet and Supporting Regulations   |
| Department of Health and<br>Human Services | 0923-0060     | Assessment of Environmental Health and Land Reuse Certification Training  |
| Department of Health and<br>Human Services | 0935-0243     | Child Hospital Consumer Assessment of Healthcare Providers and Systems (Child HCAHPS) Survey Database   |
| Department of Health and<br>Human Services | 0935-0162     | Collection of Information for AHRQ's Hospital Survey on Patient Safety Culture<br>Comparative Database  |
| Department of Health and<br>Human Services | 0920-1254     | Communities Organized to Prevent Arboviruses: Assessment of Knowledge, Attitudes,<br>and Vector Control Practices and Sero-Prevalence and Incidence of Arborviral Infection<br>in Ponce, Puerto Rico (COPA) |
| Department of Health and<br>Human Services | 0920-0800     | Focus Group Testing to Effectively Plan and Tailor Cancer Prevention and Control<br>Communication Campaigns   |

| Department of Health and<br>Human Services | 0938-1271 | Good Cause Processes (CMS-10544)   |
|--|-----------|--|
| Department of Health and<br>Human Services | 0938-0379 | Hospice Survey and Deficiencies Report Form (CMS-643)  |
| Department of Health and<br>Human Services | 0938-1328 | Initial Request for State Implemented Moratorium Form (CMS-10628)  |
| Department of Health and<br>Human Services | 0920-0850 | Laboratory Response Network  |
| Department of Health and<br>Human Services | 0920-0210 | List of Ingredients Added to Tobacco in the Manufacture of Cigarette Products  |
| Department of Health and<br>Human Services | 0938-0930 | Medicare Authorization to Disclose Personal Health Information (CMS-10106)   |
| Department of Health and<br>Human Services | 0938-1250 | Medicare Coverage of Items and Services in FDA Investigational Device Exemption<br>Clinical StudiesRevision of Medicare Coverage (CMS-10511) |
| Department of Health and<br>Human Services | 0938-0685 | Medicare Enrollment Application for Institutional Providers (CMS-855A)   |
| Department of Health and<br>Human Services | 0938-1355 | Medicare Enrollment Application for Physician and Non-Physician Practitioners; (CMS-<br>855i)  |
| Department of Health and<br>Human Services | 0915-0363 | Medicare Rural Hospital Flexibility Grant Program Performance Measures   |
| Department of Health and<br>Human Services | 0920-0004 | National Disease Surveillance Program - II. Disease Summaries  |
| Department of Health and<br>Human Services | 0935-0231 | Online Submission Form for Supplemental Evidence and Data for Systematic Reviews<br>for the Evidence-based Practice Center Program           |
| Department of Health and<br>Human Services | 0990-0407 | OS Think Cultural Health   |
| Department of Health and<br>Human Services | 0938-1311 | Pre-Claim Review Demonstration For Home Health Services (CMS-10599)  |

| Department of Health and<br>Human Services | 0938-0236 | Renal Dialysis Facility Cost Report  |
|--|-----------|--|
| Department of Health and<br>Human Services | 0938-1210 | Report of a Hospital Death Associated with Restraint or Seclusion (CMS-10455)                                |
| Department of Health and<br>Human Services | 0938-1244 | State-based Marketplace Annual Reporting Tool (SMART) (CMS-10507)  |
| Department of Health and<br>Human Services | 0920-1238 | US Tuberculosis Follow-up Worksheet for Newly Admitted Persons with Overseas<br>Tuberculosis Classifications |
| Department of Health and<br>Human Services | 0920-1308 | Validated Interview and Survey of Outpatient Providers on Antibiotic Stewardship<br>Interventions            |
| Department of Homeland<br>Security         | 1651-0140 | Collection of Advance Information from Certain Undocumented Individuals on the Land<br>Border                |
| Department of Homeland<br>Security         | 1660-0117 | FEMA's Grants Reporting Tool (GRT)   |
| Department of Homeland<br>Security         | 1625-0068 | State Access to the Oil Spill Liability Trust Fund for Removal Costs Under the Oil<br>Pollution Act of 1990  |
| Department of Justice                      | 1121-0309 | International Terrorism Victim Expense Reimbursement Program Application                                     |
| Department of Justice                      | 1121-0369 | National Criminal Justice Reference Service (NCJRS) Online Subscription Center                               |
| Department of Labor                        | 1205-0134 | Agricultural Recruitment System Forms Affecting Migratory Farm Workers                                       |
| Department of State                        | 1405-0088 | Affidavit of Identifying Witness   |
| Department of State                        | 1405-0141 | Annual Brokering Report  |
| Department of State                        | 1405-0142 | Brokering Prior Approval (License)   |

| Department of State          | 1405-0188 | Local United States Citizen Skills/Resources Survey  |
|------------------------------|-----------|--|
| Department of State          | 1405-0177 | Passport Demand Forecasting Study  |
| Department of State          | 1405-0025 | Statement of Political Contributions, Fees or Commissions in Connection with the Sale of Defense Articles or Services                |
| Department of the Treasury   | 1559-0046 | Annual Certification and Data Collection Report Form   |
| Department of the Treasury   | 1545-1776 | Form 1041-N - U.S. Income Tax Return for Electing Alaska Native Settlement Trusts  |
| Department of Transportation | 2138-0046 | Barrier Failure reporting in oil and gas operations on the Outer Continental Shelf.  |
| Department of Transportation | 2127-0510 | CONSOLIDATED LABELLING REQUIREMENTS FOR 49 CFR 565 and 567   |
| Department of Transportation | 2127-0541 | Consolidated Vehicle Owner's Manual Requirements for Motor Vehicles and Motor<br>Vehicle Equipment                                   |
| Department of Transportation | 2127-0008 | Consumer Compliant Information   |
| Department of Transportation | 2105-0554 | Counseling Information Form; Regional Center Intake Form and Quarterly (formerly<br>Monthly) Report of Operations Form.              |
| Department of Transportation | 2127-0727 | Countermeasures That Work  |
| Department of Transportation | 2127-0609 | Criminal Penalty Safe Harbor Provision   |
| Department of Transportation | 2127-0539 | Federal Motor Vehicle Theft Prevention Standard and Procedures for Selecting Lines to<br>be Covered by the Theft Prevention Standard |
| Department of Transportation | 2106-0035 | Foreign Air Carrier Application for Statement of Authorization   |

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| Department of Transportation      | 2120-0705 | Hazardous Materials Training Requirements  |
| Department of Transportation      | 2138-0048 | Industry Safety Data Program   |
| Department of Transportation      | 2139-0009 | National Ferry Database Survey   |
| Department of Transportation      | 2106-0023 | Procedures & Evidence Rules for Air Carrier Authority Applications   |
| Department of Transportation      | 2127-0042 | Record Retention 49 CFR Part 576   |
| Department of Transportation      | 2105-0551 | Reporting Requirements for Disability-Related Complaints   |
| Department of Transportation      | 2105-0563 | TIGER/BUILD Discretionary Grant Program and National Infrastructure Investments  |
| Department of Veterans<br>Affairs | 2900-0198 | Application for Annual Clothing Allowance Under 38 U.S.C. 1162   |
| Department of Veterans<br>Affairs | 2900-0321 | Appointment of Veterans Service Organization as Claimant's Representative (VA Form 21-22) and Appointment of Individual as Claimant's Representative (VA Forms 21-22a) |
| Department of Veterans<br>Affairs | 2900-0736 | Authorization to Disclose Personal Information to a Third Party (VA Form 21-0845)  |
| Department of Veterans<br>Affairs | 2900-0662 | Civil Rights Discrimination Complaint  |
| Department of Veterans<br>Affairs | 2900-0721 | Examination for Housebound Status or Permanent Need for Regular Aid and Attendance (VA Form 21-2680)   |
| Department of Veterans<br>Affairs | 2900-0826 | Intent to File a Claim for Compensation and/or Pension, or Survivors Pension and/or DI<br>(VA Form 21-0966)  |
| Department of Veterans<br>Affairs | 2900-0116 | Notice to Department of Veterans Affairs of Veteran or Beneficiary Incarcerated in Pen<br>Institution (VA Form 21-4193)  |

| Department of Veterans<br>Affairs              | 2900-0718 | Yellow Ribbon Program Agreement (22-0839)  |
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| Department of Housing and<br>Urban Development | 2501-0033 | Certification of Consistency with Promise Zones Goals and Implementation                         |
| Department of Housing and<br>Urban Development | 2502-0572 | Continuation of Interest Reduction Payments after Refinancing Section 236 Projects               |
| Department of Housing and<br>Urban Development | 2506-0217 | Economic Development Initiative Community Project Funding Grants                                 |
| Department of Housing and<br>Urban Development | 2577-0208 | HOPE VI Implementation and HOPE VI Main Street programs  |
| Department of Housing and<br>Urban Development | 2577-0169 | Housing Choice Voucher (HCV) Program and Tribal HUD-VASH   |
| Department of Housing and<br>Urban Development | 2501-0017 | HUD Standardized Grant Application Forms   |
| Department of Housing and<br>Urban Development | 2577-0243 | Inspector Candidate Assessment Questionnaire   |
| Department of Housing and<br>Urban Development | 2502-0420 | Multifamily Coinsurance Claims Package, Section 223(f)   |
| Department of Housing and<br>Urban Development | 2506-0185 | Neighborhood Stabilization Program 2 Reporting   |
| Department of Housing and<br>Urban Development | 2577-0265 | Public Housing Mortgage Program and Section 30   |
| Department of Housing and<br>Urban Development | 2577-0275 | Public/Private Partnerships for the Mixed-Finance Development of Public Housing Units            |
| Department of Housing and<br>Urban Development | 2535-0113 | Race and Ethnic Data Collection  |
| Environmental Protection<br>Agency             | 2060-0563 | NSPS for Other Solid Waste Incineration (OSWI) Units (40 CFR Part 60, Subpart EEEE)<br>(Renewal) |

| Federal Deposit Insurance<br>Corporation    | 3064-0167 | National Survey of Unbanked and Underbanked Households   |
|---|-----------|--|
| Federal Housing Finance<br>Agency           | 2590-0007 | Affordable Housing Program (AHP)   |
| Institute of Museum and<br>Library Services | 3137-0114 | A Needs Assessment of Programs, Services, and Operations of Tribal Archives,<br>Libraries, and Museums   |
| Institute of Museum and<br>Library Services | 3137-0129 | Communities for Immunity Evaluation (Round 2)  |
| Institute of Museum and<br>Library Services | 3137-0113 | Community Catalyst (CCI) Program Evaluation: The Roles of Libraries and Museums<br>Enablers of Community Vitality and Co-Creators of Positive Community Change |
| Institute of Museum and<br>Library Services | 3137-0105 | Community Catalyst: The Roles of Libraries and Museums as Enablers of Communit<br>Vitality and Co-creators of Positive Community Change                        |
| Institute of Museum and<br>Library Services | 3137-0109 | Digital Inclusion Corps Pilot Project Evaluation   |
| Institute of Museum and<br>Library Services | 3137-0090 | Guidelines for Grants to States Program Five-Year Evaluations  |
| Institute of Museum and<br>Library Services | 3137-0127 | IMLS American Rescue Plan Grant Program  |
| Institute of Museum and<br>Library Services | 3137-0118 | IMLS CARES Act Grants for Museums and Libraries  |
| Institute of Museum and<br>Library Services | 3137-0117 | IMLS CARES Act Grants for Native American/ Native Hawaiian Museum and Librar<br>Services   |
| Institute of Museum and<br>Library Services | 3137-0119 | IMLS Program Information Form and instructions   |
| Institute of Museum and<br>Library Services | 3137-0108 | Maker/STEM Education Support for 21st Century Community Learning Centers Progr<br>Evaluation   |
| Institute of Museum and<br>Library Services | 3137-0106 | Museum Assessment Program Evaluation   |

| Institute of Museum and<br>Library Services      | 3137-0104 | STEM Expert Facilitation of Family Learning in Libraries and Museums (STEMeX               |
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| Institute of Museum and<br>Library Services      | 3137-0115 | The Social Well-being Impact (SWI) of Libraries and Museums Study                          |
| Merit Systems Protection<br>Board                | 3124-0012 | Customer Surveys   |
| Merit Systems Protection<br>Board                | 3124-0015 | Generic Clearance for the Collection of Qualitative Feedback on Agency Service<br>Delivery |
| National Aeronautics and<br>Space Administration | 2700-0160 | Safety and Health Measures and Mishap Reporting (NFS1852.223-70)                           |
| National Aeronautics and<br>Space Administration | 2700-0169 | Automated Technology Licensing Application System (ATLAS)                                  |
| National Aeronautics and<br>Space Administration | 2700-0166 | Community Response to Low-Amplitude Sonic Boom Testing                                     |
| National Aeronautics and<br>Space Administration | 2700-0077 | CONTRACTOR AND SUBCONTRACTOR COMPENSATION PLANS  |
| National Aeronautics and<br>Space Administration | 2700-0184 | Internship Outcome Assessments for NASA STEM Gateway                                       |
| National Aeronautics and<br>Space Administration | 2700-0135 | Job Shadowing Program (JSP) (formerly Kennedy Education Experience Program                 |
| National Aeronautics and<br>Space Administration | 2700-0170 | JSC Form 1830 – Report of Medical Examination  |
| National Aeronautics and<br>Space Administration | 2700-0078 | Mentor Protege Program Small Business and Small Disadvantaged Business Concer              |
| National Aeronautics and<br>Space Administration | 2700-0161 | NASA Grant Application Demographic Data  |
| National Aeronautics and<br>Space Administration | 2700-0157 | NASA Human Exploration Rover Challenge (former NASA Great Moonbuggy)                       |

| National Aeronautics and<br>Space Administration | 2700-0150 | NASA Office of Education STEM Challenges   |
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| National Aeronautics and Space Administration    | 2700-0063 | NASA Safety Reporting System   |
| National Aeronautics and<br>Space Administration | 2700-0171 | NASA TREAT Astronauts Act  |
| National Aeronautics and<br>Space Administration | 2700-0165 | NASA Visitor Management System   |
| National Aeronautics and<br>Space Administration | 2700-0158 | Personal Identity Validation for Routine and Intermittent Access to NASA Facilitie<br>Sites, and Information Systems |
| National Archives and<br>Records Administration  | 3095-0017 | Request to Digitize Records  |
| Securities and Exchange<br>Commission            | 3235-0435 | Customer Account Statements (17 CFR 242.607)   |
| Securities and Exchange<br>Commission            | 3235-0012 | Form BD and Rule 15b1-1. Application for registration as a broker- dealer  |
| Securities and Exchange<br>Commission            | 3235-0748 | Ombudsman Matter Management System (OMMS) Submission Form  |
| Securities and Exchange<br>Commission            | 3235-0762 | Regulation BI- Best Interest   |
| Securities and Exchange<br>Commission            | 3235-0507 | Rule 19b-5 and Form PILOT  |
| Selective Service System                         | 3240-0005 | Potential Board Member Information   |
| Small Business<br>Administration                 | 3245-0331 | 8(A)/SBD Paper and Electronic Application  |
| Small Business<br>Administration                 | 3245-0169 | Federal Cash Transaction Report, Financial Status Report, Program Income Report<br>Narrative Program Report          |
| Small Business<br>Administration                 | 3245-0320 | HUBZone Program Electronic Application, Re certification and Program Examinati                                       |

| Small Business<br>Administration                                  | 3245-0393 | Mentor Protege Program  |
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| Small Business<br>Administration                                  | 3245-0078 | Portfolio Financing Report  |
| Small Business<br>Administration                                  | 3245-0062 | SBIC Management Assessment Questionnaire & License Application; Exhibits to SBIC<br>License App./Mgmt. Assessment Questionnaire |
| Small Business<br>Administration                                  | 3245-0356 | Small Business Innovation Research (SBIR) and Small Business Technology Transfer<br>(STTR) Tech-Net Database                    |
| Small Business<br>Administration                                  | 3245-0172 | Stockholders' Confirmation (Corporation); Ownership Confirmation (Partnership)  |
| Small Business<br>Administration                                  | 3245-0399 | Women's Digitalization (Entrepreneur Learning) Initiative Registration  |
| Surface Transportation Board                                      | 2140-0024 | Agricultural Contract Summaries   |
| Surface Transportation Board                                      | 2140-0020 | Arbitration Option Notices  |
| Surface Transportation Board                                      | 2140-0028 | Rail Depreciation Studies   |
| Surface Transportation Board                                      | 2140-0025 | Recordation of Liens  |
| Surface Transportation Board                                      | 2140-0003 | System Diagram Maps   |
| Surface Transportation Board                                      | 2140-0026 | Water Carrier Tariffs   |
| United States International<br>Development Finance<br>Corporation | 3015-0001 | Economic Screening Questionnaire  |